

Atty. Docket No. GEN10 P-333

2875

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CERTIFICATE OF MAILING

I hereby certify that this paper, together with all enclosures identified herein, are being deposited with the United States Postal Service as first class mail, addressed to the Assistant Commissioner for Patents, Washington D.C. 20231, on the date indicated below.

11/28/01
Date

Rebecca A. Westers
Rebecca A. Westers

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : John K. Roberts et al.
Appln. No. : 09/723,675
Filing Date : November 28, 2000
Art Unit : 2875
Confirmation No. : 6008
For : LIGHT EMITTING ASSEMBLY

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.97(b)

Pursuant to 37 CFR §§1.56 and 1.97(b), Applicants bring to the attention of the Examiner the document listed on the attached Form PTO-1449. This Information Disclosure Statement is being filed more than three months after the filing date of the above-referenced application but, to the undersigned's knowledge, before the mailing date of a first Office Action on the merits for the above-referenced application.

A copy of the listed document is submitted herewith along with Form PTO-1449. Applicants respectfully request that the Examiner consider the listed document and evidence that consideration by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed document is material or constitutes "prior art." If it should be determined that the listed document does not constitute "prior art" under

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Applicant : John K. Roberts et al.
Appln. No. : 09/723,675
Page : 2

United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such document.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed document, should the document be applied against the claims of the present application.

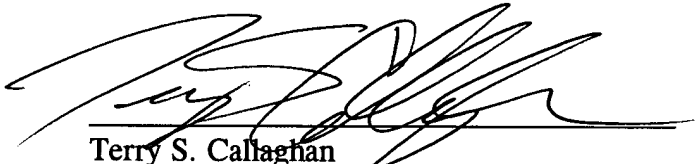
If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 16-2463.

Respectfully submitted,

JOHN K. ROBERTS ET AL.

By: Price, Heneveld, Cooper,
DeWitt & Litton

11-28-2001
Date


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